



Regulatory Compliance And Risk Management CONFERENCE 2022

SCHEDULE-AT-A-GLANCE SPONSORED BY:



Schedule-At-A-Glance

Tuesday, November 15, 2022

All times listed in the agenda are in pacific time

8:00 AM – 6:00 PM **Registration Open** *Office 3*

5:00 PM – 6:00 PM **Welcome Reception — Sponsored by Verafin** *Roman Ballroom*

Wednesday, November 16, 2022

8:00 AM – 3:30 PM **Registration Open** *Office 3*

8:00 AM – 9:15 AM **Welcome Remarks & Breakfast Sponsored by Blank Rome** *Roman Ballroom*

9:15 AM – 10:15 AM **Learning Sessions**

Learning Session 1

Pompeian I - III

**Leveraging Blockchain
to Transform Your CIP
Program & KYC Protocols**

Robert Baron, Chief
Experience Officer, StandardC

Learning Session 2

Pompeian IV

**CPRA for Financial
Institutions – Last Minute
Updates**

Vincent Schroder, Of Counsel,
Morrison & Foerster

Risk Management Track

Messina

Cybersecurity Panel

Moderator: James Jefferson,
SVP, Chief Risk Officer,
Montecito Bank & Trust

Greg Brooks, vCIO, Meriplex
Paul Abramson, EVP, Chief
Technology Officer, American
Riviera Bank

November 15–18, 2022

Caesars Palace Las Vegas Hotel & Casino

10:15 AM – 10:45 AM	Morning Break	<i>Roman Ballroom</i>	
10:45 AM – 11:45 AM	General Session — Legislative Update	<i>Pompeian I - III</i>	
	Kevin Gould, Executive Vice President, Director of Government Relations, California Bankers Association Melanie Cuevas, Vice President of Government Relations, California Bankers Association Jason Lane, Vice President and Deputy Director of Government Relations, California Bankers Association		
11:45 AM – 1:00 PM	Marketplace Time & Lunch Sponsored by Associated Bank	<i>Roman Ballroom</i>	
1:00 PM – 2:00 PM	Learning Sessions		
	Learning Session 1 <i>Pompeian I - III</i>	Learning Session 2 <i>Pompeian IV</i>	Risk Management Track <i>Messina</i>
	CRA Modernization and Today's Regulatory Environment The new CRA vs the old CRA and the dramatic impacts it'll have on ALL institutions, regardless of size Len Suzio, President, GeoDataVision	Section 1071 Dodd Frank Takes Shape The Soon to be Issued CFPB Final Rule Creating a New Regime for Small Business Lending Data Collection (Regulation B) Michael Flynn, Of Counsel, Buchalter	The Most "Eye-Opening" Risk Topic of the Year: Essential Model Risk Management Fundamentals Mark Haberland, Managing Partner, Darling Consulting Group
2:00 PM – 2:30 PM	Afternoon Break	<i>Roman Ballroom</i>	
2:30 PM – 3:30 PM	Learning Sessions		
	Learning Session 1 <i>Pompeian I - III</i>	Learning Session 2 <i>Pompeian IV</i>	Risk Management Track <i>Messina</i>
	The Compliance and Scrutiny of Overdraft Programs: Is Your Bank Prepared? Julia Gutierrez, Director of Education, Compliance Alliance	Key Flood Insurance Developments Stephanie Shea, Principal, Aldrich & Bonnefin, PLC	High Risk Monitoring and Customer Due Diligence Policies Rob Farling, Principal, National Leader of AML & Regulatory Compliance, RSM US LLP
3:30 PM	Evening Open for Vendor Dinners		

Thursday, November 17, 2022

8:00 AM – 4:00 PM	Registration Open	<i>Office 3</i>
8:00 AM – 9:15 AM	Breakfast — Sponsored by Abrigo	<i>Roman Ballroom</i>

9:15 AM – 10:15 AM	General Session — Regulator Panel La Sonia Burks, Compliance Review Examiner Kendra Hartnett, Wealth Management / BSA Risk Coordinator, FRBSF Gretchen Blystone, MCBS Risk Officer, OCC Sandra Khatchadourian, Senior Financial Institutions Examiner, DFPI			<i>Pompeian I - III</i>
10:15 AM – 10:45 AM	Morning Break			<i>Roman Ballroom</i>
10:45 AM – 11:45 AM	Learning Sessions Learning Session 1 <i>Pompeian I - III</i> Fair Lending Allison Giancola, Manager, Wolf & Company, P.C. Stephen King, Principal, Wolf & Company, P.C.	Learning Session 2 <i>Pompeian IV</i> BSA/AML/OFAC – AML Act of 2020 and National Priorities – What is on the Horizon Thomas Smith, Director – Compliance Services, CliftonLarsonAllen Karen Leiter, Regulatory Compliance Director, CliftonLarsonAllen	Risk Management Track <i>Messina</i> Vendor Risk Management Panel Moderator: Ronnie Clariza, Senior Vice President, Chief Risk Officer, First Financial Northwest Bank Robert Pioli, SVP Procurement Officer, Pacific Western Bank Doug Harrison, Director, Risk Consulting, RSM US LLP Michael Berman, Founder & CEO, Ncontracts	
11:45 AM – 1:00 PM	Marketplace Time & Lunch Sponsored by Wolters Kluwer			<i>Roman Ballroom</i>
1:00 PM – 2:00 PM	General Session — ESG Panel Moderator: Dave Sharp, SVP, Deputy Chief Risk Officer, Pacific Western Bank Mike Cohn, Principal, Wolf & Company P.C. Reshma Shah, Accounting & Reporting Advisory Senior Manager, Deloitte			<i>Pompeian I - III</i>
2:00 PM – 2:30 PM	Marketplace Time & Afternoon Break			<i>Roman Ballroom</i>
2:30 PM – 3:30 PM	Peer Exchange Session			<i>Roman Ballroom</i>
3:45 PM – 4:45 PM	Learning Sessions Learning Session 1 <i>Pompeian I - III</i> Insurance for Fraud-Based Losses and Liabilities: What Banks Need to Know to Identify and Secure Coverage David A. Thomas, Partner, Blank Rome LLP	Learning Session 2 <i>Pompeian IV</i> The Different Ways Banks are Using Fintech to Work with Cannabis Paul Dunford, Co-founder, VP of Knowledge, Green Check Verified	Risk Management Track <i>Messina</i> Emerging Risks Panel Moderator: Laurel Sykes, EVP, Chief Risk Officer, American Riviera Bank Stephanie Shea, Principal, Aldrich & Bonnefin, PLC Noory Song, Senior Manager, Moss Adams	
5:00 PM – 6:00 PM	Reception — Sponsored by Aldrich & Bonnefin			<i>Roman Ballroom</i>



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Friday, November 18, 2022

8:00 AM – 11:00 AM	Registration Open	<i>Office 3</i>
8:00 AM – 9:15 AM	Vendor Prize Drawings & Breakfast Sponsored by SBS Cybersecurity	<i>Roman Ballroom</i>
9:15 AM – 10:15 AM	Learning Sessions	
	Learning Session 1 <i>Pompeian I - III</i> WHO's in Your Wallet? Nick Podhradsky, EVP, SBS CyberSecurity	Learning Session 2 <i>Pompeian IV</i> Stress Testing Darren Fago, Director, Advisory Services, ALM First
		Risk Management Track <i>Messina</i> Data Governance Session Dave Lindsay, Managing Director, Data Solutions, Treliant
10:15 AM – 10:30 AM	Morning Break	<i>Roman Ballroom</i>
10:30 AM – 11:30 AM	Closing General Session — Capitalizing on Crypto: Risks and Opportunities for Bankers Scott Goodwin, Principal, Wolf & Company, P.C.	<i>Pompeian I - IV</i>
11:30 AM	Conference Concludes	